# Exam 2018-03-12 

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This exam consists of three parts:

1. Part A consists of 5 items, each worth 3 points. These items test your understanding of the basic algorithms that are covered in the course. They require only compact answers, such as a short text, calculation, or diagram.

Collected wildcards are valid for this part of the exam. The numbering of the questions corresponds to the numbering of the wildcards.
2. Part B consists of 3 items, each worth 6 points. These items test your understanding of the more advanced algorithms that are covered in the course. They require detailed and coherent answers with correct terminology.
3. Part C consists of 1 item worth 9 points. This item tests your understanding of algorithms that have not been explicitly covered in the course. This item requires a detailed and coherent answer with correct terminology.

Grade requirements: For grade 3, you need at least 12 points in Part A (some of which may come from wildcards). For grade 4, you additionally need at least 14 points in Part B. For grade 5, you additionally need at least 7 points in Part C.

Note that surplus points in one part do not raise your score in another part.

## Good luck!

## Part A

a) Complete the learning algorithm for the multi-class perceptron:

```
for each class \(c\) do
    \(\boldsymbol{w}_{c} \leftarrow \mathbf{0}\)
for each epoch do
    for each training example \((\boldsymbol{x}, \boldsymbol{y})\) do
```

b) Complete the decision rule for the Naive Bayes classifier under the assumption that the classifier uses $\log$ probabilities. Explain your notation.

$$
\hat{c}=\underset{c \in C}{\arg \max } \ldots
$$

c) A certain Naive Bayes classifier has a vocabulary consisting of 48,359 unique words. Training the classifier on a collection of movie reviews gave

$$
\begin{array}{ll}
\#(\text { pokemon }, \text { pos })=0 & \#(\bullet, \text { pos })=712480 \\
\#(\text { pokemon }, \text { neg })=20 & \#(\bullet, \text { neg })=636767
\end{array}
$$

where $\#(w, c)$ denotes the number of occurrences of the word $w$ in documents with class $c$, and $\#(\bullet, c)$ denotes the total number of tokens in documents with class $c$. Estimate the following probabilities using maximum likelihood estimation with add-one smoothing. Answer with fractions containing concrete numbers. You do not have to simplify the fractions.
i. $\quad P$ (pokemon | pos)
ii. $\quad P$ (pokemon | neg)

The Corpus of Contemporary American English (COCA) is the largest freely-available corpus of English, containing approximately 560 million tokens. In this corpus we have the following counts of unigrams and bigrams:

| snow | white | white snow | purple | purple snow |
| :---: | :---: | :---: | :---: | :---: |
| 38,186 | 256,091 | 122 | 11,218 | 0 |

a) Estimate the following probabilities using maximum likelihood estimation without smoothing. Answer with fractions containing concrete numbers. You do not have to simplify the fractions.
i. $\quad P($ snow $)$
ii. $\quad P($ snow $\mid$ purple $)$
b) Estimate the following probabilities using absolute discounting with $d=0.1$. Assume that the vocabulary consists of $1,254,193$ unique words, that each word from the vocabulary is observed at least once, and that the number of unique words observed after the word purple is 2,462 . Answer with fractions containing concrete numbers. You do not have to simplify the fractions.
i. $\quad P($ snow $)$
ii. $\quad P($ snow $\mid$ purple $)$
c) We use maximum likelihood estimation with add- $k$ smoothing to train two trigram models on the COCA corpus: model A with $k=0.1$, model B with $k=0.01$. We compute the entropy of both models on the training data. Which model has the higher entropy, and why? Anwer with a short text.

The following matrices specify a hidden Markov model in terms of costs (negative $\log$ probabilities). The marked cell gives the transition cost from BOS to AB.

|  | AB | PN | PP | VB | EOS |
| :---: | :---: | :---: | :---: | :---: | :---: |
| BOS | 11 | 10 | 12 | 11 | 25 |
| AB | 11 | 11 | 11 | 10 | 14 |
| PN | 11 | 12 | 12 | 10 | 16 |
| PP | 13 | 11 | 12 | 14 | 18 |
| VB | 11 | 10 | 10 | 13 | 15 |


|  | she | got | up |
| :---: | :---: | :---: | :---: |
| $A B$ | 25 | 25 | 14 |
| PN | 13 | 25 | 25 |
| PP | 25 | 25 | 13 |
| VB | 25 | 14 | 19 |

When using the Viterbi algorithm to calculate the least expensive (most probable) tag sequence for the sentence 'she got up' according to this model, we get the following matrix. Note that the matrix is missing three values (marked cells).

|  |  | she | got | up |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| BOS | o |  |  |  |  |
| AB |  | A | 59 | 72 |  |
| PN |  | 23 | 60 | 82 |  |
| PP |  | 37 | B | 70 |  |
| VB |  | 36 | 47 | 78 |  |
| EOS |  |  |  |  | C |

a) Calculate the missing values.
b) Starting in cell C , list the backpointers for the matrix and state the least expensive (most probable) tag sequence for the sentence.
c) State the runtime complexity of the Viterbi algorithm as a function of the number of tags in the model, $m$, and the number of words in the sentence, $n$. Use Big O notation. Provide a short explanation of your analysis.
a) Draw all non-projective dependency trees for the sentence ' 123 ' in which the artificial root vertex 0 has exactly one child.
b) State a sequence of transitions that make an arc-standard parser produce the following dependency tree:

c) Define the concepts soundness and completeness for the arc-standard transition system. Recall that these concepts relate transition sequences with projective dependency trees.

## 05 <br> Semantic analysis

Consider the following co-occurrence matrix over the six-word vocabulary coffee, tea, beans, bubble, cocoa, taxi. Rows correspond to target words, columns correspond to context words. All counts that are not explicitly given are zero.

|  | beans | bubble | cocoa | taxi |
| :--- | :---: | :---: | :---: | :---: |
| coffee | 35 | 0 | 11 | 0 |
| tea | 1 | 17 | 4 | 0 |

a) Read off the following values from the co-occurrence matrix:
\#(tea, bubble) \#(tea) \#(bubble)
b) List all target word-context word pairs ( $w, c$ ) whose positive pointwise mutual information is (strictly) greater than zero.
c) Explain why the cosine similarity of two word vectors derived from a PPMI matrix is never negative. Answer with a short text.

## Part B

The following matrix shows the values computed by the Wagner-Fischer algorithm for finding the Levenshtein distance between the two words student and teacher. Note that the matrix is missing a value (marked cell).

| t | 7 | 6 | 5 | 5 | 5 | 6 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| n | 6 | 5 | 4 | 4 | 5 | 5 | 6 | 6 |
| e | 5 | 4 | 3 | 4 | 4 | 5 | 5 | 6 |
| d | 4 | 3 | 3 |  | 4 | 5 | 6 | 7 |
| u | 3 | 2 | 2 | 3 | 4 | 5 | 6 | 7 |
| t | 2 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| s | 1 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| $\#$ | o | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|  | $\#$ | t | e | a | c | h | e | r |

a) Define the concept of the Levenshtein distance between two words. The definition should be understandable even to readers who have not taken this course.
b) Calculate the value for the marked cell. Explain. Show that you have understood the Wagner-Fischer algorithm.
c) It is much more likely for a user to mistype the word student as stusent than as stulent; this is because the keys for the letters $d$ and $s$ are much closer to each other on the keyboard than the keys for the letters $d$ and $l$. Explain how the Wagner-Fischer algorithm could be adapted to take this information into account.

Here is incomplete pseudocode for the Eisner algorithm:
for $i$ in $[0, \ldots, n]$ :
// two lines missing
for $k$ in $[1, \ldots, n]$ :
for $i$ in $[k-1, \ldots, 0]$ :
$T_{4}[i][k]=\max _{i \leq j<k}\left(T_{2}[i][j]+T_{1}[j+1][k]+A[i][k]\right)$
// three lines missing
The table $A$ holds the arc-specific scores. The tables $T_{t}$ hold values from the set $\mathbb{R} \cup\{-\infty\}$ and correspond to the four different types of subproblems:


These tables are initialised with the value $-\infty$.
a) Complete the missing lines.
b) State the runtime complexity of the Eisner algorithm as a function of the number of words in the sentence. Use Big O notation. Explain how the Eisner algorithm improves over the Collins algorithm in terms of runtime. Provide a concrete example that illustrates the difference between the two algorithms.
c) The Eisner algorithm is typically used for arc-factored parsing. Under this model, the score of a candidate dependency tree $y$ for a (part-of-speech-tagged) sentence $x$ is defined as the sum of the scores of the arcs of the tree, and the score of an $\operatorname{arc} h \rightarrow d$ is defined as the dot product of a feature vector $\phi(x, h \rightarrow d)$ and a weight vector $\boldsymbol{w}$. Give some examples of features that may be useful in this context, and explain how the weight vector can be learned using the structured perceptron.

Google's word2vec implements two separate algorithms for training word embeddings: continuous bag-of-words and skip-gram. Both algorithms obtain word embeddings as 'side products' of a binary prediction task.
a) Explain the architecture of the skip-gram model in your own words. Refer to the relevant slide from the lecture, reproduced below.

b) To train a binary classifier, one needs both positive and negative instances. Explain how this data is obtained for the skip-gram model.
c) Levy and Goldberg (2014) showed a close connection between the skip-gram model and the method of obtaining word embeddings from a co-occurrence matrix that was covered in the course. Explain this connection in your own words. Why would you prefer the skip-gram model over the matrix approach?

## Part C

For a) and b), consider dependency trees without an artificial root vertex, that is, trees where the root vertex may take any position between 1 and $n$.
a) Every projective dependency tree can be constructed by some sequence of transitions in the arc-standard system, but this sequence is not necessarily unique - one and the same tree may be constructed in several different ways.
i. Provide an example that illustrates this point.
ii. The number of projective dependency trees on $n$ vertices is given by the integer sequence $1,2,7,30,143, \ldots$ How many different transition sequences are there for projective dependency trees on $n$ vertices, for $1 \leq n \leq 5$ ?
b) The arc-hybrid system has the same configurations and the same initialisation and termination conditions as the arc-standard system, but uses a different LEFT transition. Let us denote a configuration as $c=(\sigma, \beta, A)$, where $\sigma$ is the stack, $\beta$ is the buffer, and $A$ is the set of already constructed dependency arcs. Then the three transitions of the arc-hybrid system can be defined as follows:

$$
\begin{align*}
(\sigma, b \mid \beta, A) & \rightarrow(\sigma \mid b, \beta, A) & & \text { SHIFT }  \tag{SHIFT}\\
\left(\sigma\left|s_{1}\right| s_{0}, \beta, A\right) & \rightarrow\left(\sigma \mid s_{1}, \beta, A \cup\left\{\left(s_{1}, s_{0}\right)\right\}\right) & & \text { RIGHT } \\
(\sigma|s, b| \beta, A) & \rightarrow(\sigma, b \mid \beta, A \cup\{(b, s)\}) & & \text { LEFT }
\end{align*}
$$

i. State a sequence of transitions that make an arc-hybrid parser produce the dependency tree from item 04 b ).
ii. How many transitions does an arc-hybrid parser make when processing a sentence with $n$ words? State your answer as a function of $n$.
c) The Eisner algorithm can be simplified by replacing subproblems of type 3 and subproblems of type 4 with one new type of subproblem, which we may refer to as type 5. To compute the score of subproblems of this type, we combine two 'triangles' without charging the score for an arc:

$$
T_{5}[i][k]=\max _{i \leq j<k}\left(T_{2}[i][j]+T_{1}[j+1][k]\right)
$$

How do you have to modify the other rules of the Eisner algorithm such that the modified algorithm becomes equivalent to the original one? Argue for the correctness of the modified algorithm.

